



GAU 3713

ODS-38

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William L. Thomas

Application No.: 09/809,922 Confirmation No.: 7120

Filed : March 16, 2001

For : SYSTEMS AND METHODS FOR PRESENTING A LOTTERY  
INTERFACE IN AN INTERACTIVE WAGERING  
APPLICATION

Group Art Unit : 3713

Examiner : Not yet assigned

Commissioner for Patents  
Washington, D.C. 20231

New York, New York  
September 28, 2001

TRANSMITTAL LETTER

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

- within three months of the application filing date;
- more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.98, submission of this Statement requires no fee. However, if for any reason a fee is due, the Commissioner is hereby authorized to charge payment of any fees required in connection with this Information Disclosure

Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Alex Shvarts

Alexander Shvarts  
Registration No. 47,943  
Agent for Applicant  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000  
Fax : (212) 596-9090

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September 28, 2001

Lillian Garcia

Lillian Garcia

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TC 313 11/01/2001

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicant wishes to call the attention of the Examiner to the  
following documents:

U.S. Patent Documents

Brenner et al.	5,830,068	(11/03/98)
Davis et al.	5,822,123	(10/13/98)
Scagnelli et al.	5,415,416	(05/16/95)
Wilder	5,408,417	(04/18/95)
Von Kohorn	5,249,044	(09/28/93)
Harvey et al.	5,233,654	(08/03/93)
Von Kohorn	5,227,874	(07/13/93)
Lockton	5,083,800	(01/28/92)
Thacher et al.	5,083,271	(01/21/92)
Bruner Jr.	4,799,683	(01/24/89)
Young	4,706,121	(11/10/87)
Harvey et al.	4,704,725	(11/03/87)

Foreign Patent Documents

UK	2 300 535 A	(11/06/96)
PCT	WO 95/01058	(01/05/95)
EPO	0 624 039 A2	(11/09/94)
EPO	0 620 688 A2	(10/19/94)

Other Documents

Ross Peddicord, "New on TV: You Bet Your Horse,"  
The Sun, Baltimore, Maryland, December 15, 1994, pp. 1A  
and 16A.

Yee-Hsiang Chang et al., "An Open-Systems Approach  
To Video On Demand," IEEE Communications Magazine,  
May 1994, pp. 68-80.

Probe XL Brochure, AutoTote Systems Inc., Newark,  
Delaware (undated).

Probe Self Service Screen Activated Machine Brochure,  
AutoTote Systems Inc., Newark, Delaware (undated).

The Grand Stand System Brochure, AutoTote Systems Inc.,  
Newark, Delaware (undated).

Probe Voucher Dispenser Module, AutoTote Systems Inc.,  
Newark, Delaware (undated).

AutoTrak II System Overview, AutoTote Systems Inc.,  
Newark, Delaware, September 1993, pp. 18-22.

These documents are listed on the accompanying Form  
PTO-1449 (submitted in duplicate) and copies are enclosed  
herewith.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

Alex Shvarts

Alexander Shvarts  
Registration No. 47,943  
Agent for Applicant  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000  
Fax : (212) 596-9090

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Lillian Garcia  
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ODS-38	APPLICATION NO. 09/809,922
O I P E JCA1 OCT 01 2001 PATENT & TRADEMARK OFFICE		APPLICANT William L. Thomas	CONF. NO. 7120
		FILING DATE March 16, 2001	GROUP 3713

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,830,068	11/03/98	Brenner et al.	463	42	
	5,822,123	10/13/98	Davis et al.	348	564	
	5,415,416	05/16/95	Scagnelli et al.	273	439	
	5,408,417	04/18/95	Wilder	364	479	
	5,249,044	09/28/93	Von Kohorn	358	86	
	5,233,654	08/03/93	Harvey et al.	380	20	
	5,227,874	07/13/93	Von Kohorn	358	84	
	5,083,800	01/28/92	Lockton	273	439	
	5,083,271	01/21/92	Thacher et al.	364	411	
	4,799,683	01/24/89	Bruner Jr.	273	138 A	
	4,706,121	11/10/87	Young	358	142	
	4,704,725	11/03/87	Harvey et al.	380	9	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2 300 535 A	11/06/96	UK	H04N	5/ 262		
	WO 95/01058	01/05/95	PCT	H04N	7/16		
	0 624 039 A2	11/09/94	EPO	H04N	7/173		
	0 620 688 A2	10/19/94	EPO	H04N	7/14		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ODS-38	APPLICATION NO. 09/809,922
O I P E OCT 01 2001 USPTO JCA1	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT William L. Thomas	CONF. NO. 7120
		FILING DATE March 16, 2001	GROUP 3713



**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER INITIAL	
	Ross Peddicord, "New on TV: You Bet Your Horse," The Sun, Baltimore, Maryland, December 15, 1994, pp. 1A and 16A
	Yee-Hsiang Chang et al., "An Open-Systems Approach To Video On Demand," IEEE Communications Magazine, May 1994, pp. 68-80
	Probe XL Brochure, AutoTote Systems Inc., Newark, Delaware (undated)
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	Probe Voucher Dispenser Module, AutoTote Systems Inc., Newark, Delaware (undated).
	AutoTrak II System Overview, AutoTote Systems Inc., Newark, Delaware, September 1993, pp. 18-22.

TC-3156 v. L. 200

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.